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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/667,297	09/22/2000	Eric R. Lovegren	R11.12-0701	1706

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EXAMINER
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WEST, JEFFREY R

ART UNIT	PAPER NUMBER
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2857

DATE MAILED: 05/07/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 09/667,297	<b>Applicant(s)</b> LOVEGREN ET AL.	
	<b>Examiner</b> Jeffrey R. West	<b>Art Unit</b> 2857	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 19 February 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 03 July 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Claim Objections***

1. Claims 1, 10, 16, 18, and 20 are objected to because of the following informalities:

In claim 1, line 3, to avoid confusion, "a reflection (reflected pulse)" should be ---a reflected pulse---.

In claim 10, lines 7-8, to avoid problems of antecedent basis, "the microwave pulse" should be ---the transmitted microwave pulse---.

In claim 16, line 5, to avoid problems of antecedent basis, "the estimated first pulse" should be ---the first pulse---.

In claim 18, line 2, to avoid problems of antecedent basis, "the threshold calculating module" should be ---the threshold calculation module---.

In claim 18, lines 3-4, to avoid problems of antecedent basis, "the amplitude" should be ---the transmit pulse amplitude---.

In claim 20, line 4, to avoid problems of antecedent basis, "the amplitude" should be ---the transmit pulse amplitude---.

Appropriate correction is required.

### ***Claim Rejections - 35 USC § 112***

2. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

3. Claims 3, 6, and 9 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

Claims 3, 6, and 9 are rejected under 35 U.S.C. 112, first paragraph, for including a limitation of calculating the estimated first/second/fiducial pulse amplitudes as “a function of at least one of an attenuation factor, a range factor, and an offset value.” The specification, however, does not sufficiently enable this limitation, specifically with respect to the “offset value”. The specification does disclose “an estimated first pulse amplitude [is] calculated as a function of the reference amplitude, the correction factor, the first dielectric parameter, and the second dielectric parameter” (page 16, lines 6-10). The specification also provides a similar recitation with respect to the estimated second pulse amplitude (column 17, lines 25-29). The specification also describes calculating a correction factor as a function of temperature (page 18, lines 5-18) and a range factor/attenuation factor (page 18, lines 19-25). The specification, however, does not describe determining a correction factor as a function of any offset value but only enables adjusting the threshold values as a function of the offset values (page 20, lines 1-8). For this reason, it is unclear to one having ordinary skill in the art how the estimated pulse amplitudes are calculated as a function of an offset value and therefore it is unclear to one having ordinary skill in the art how to use this aspect of the invention.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,457,990 to Oswald et al. in view of U.S. Patent No. 5,969,666 to Burger et al.

Oswald discloses a method for use by a level transmitter to detect a reflection of a transmitted pulse from a first material interface, the method comprising calculating an estimated first reflected pulse amplitude as a function of a reference amplitude of the transmitted pulse (column 9, lines 31-53 and column 10, lines 49-53) and detecting the reflected pulse from the first material interface using the estimated first reflected pulse amplitude by setting/calculating a first threshold value as a function of the estimated first reflected pulse amplitude (column 10, lines 53-58) using a transceiver apparatus for transmitting a pulse having a transmit amplitude and receiving the pulses to produce a signal representing the reflected wave pulses as part of a controlling processor system (column 7, lines 16-30 and Figures 5, 9, and 10).

Oswald discloses a level calculation module executable by the processor system that establishes a level of the first material interface using the signal and the threshold value (column 4, lines 43-56 and column 8, lines 57-47) and outputs this level through a port to a display means (column 7, lines 28-30).

Oswald discloses detecting multiple pulses (column 6, lines 54-58) wherein a first reflected pulse corresponds to the portion of a transmitted pulse reflected at a first material interface between air and a first product (i.e. first and second materials), a second reflected pulse corresponding to the portion of a transmitted pulse reflected at a first material interface between the first product and a second product (i.e. second and third materials), and a fiducial pulse corresponding to the portion of a transmitted pulse reflected at the fiducial interface at the top of the tank (column 4, lines 12-16 and column 7, lines 7-9).

While Oswald generally discloses generating a transmission pulse, Oswald does not specify that the pulse be a microwave pulse.

Burger teaches a radar-based method of measuring the level of a material in a container comprising a transmitter antenna that generates microwave pulses (column 2, lines 3-23).

It would have been obvious to one having ordinary skill in the art to modify the invention of Oswald to include specifying that the pulse be a microwave pulse, as taught by Burger, because Oswald suggests determining the location of a discontinuity based upon time calculations (column 2, lines 5-12 and column 8, lines 37-40) and Burger suggests that microwave pulses would be advantageous in

allowing the determination of the pulse propagation time thereby allowing easier time calculations (column 3, lines 19-31).

Further since Oswald teaches a fiducial interface formed between source and the first material and Burger teaches using an antenna as the source, the combination teaches a fiducial interface formed between the antenna and the first material.

6. Claims 10, 11, 16, 17, and 19-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Oswald et al. in view of Burger et al. and further in view of U.S. Patent No. 3,812,422 to De Carolis.

As noted above, the invention of Oswald and Burger teaches many of the features of the claimed invention including determining a detection threshold as a predetermined fraction of the amplitude of the reflection (column 1, line 63 to column 2, line 4) but does not specifically teach incorporating the dielectric parameters of the materials in calculating the reflected pulse amplitude.

De Carolis teaches an apparatus for measuring the levels of fluids and the dielectric constants of the same comprising setting a first dielectric parameter corresponding to a dielectric of a first material (i.e. air) adjacent to a source (column 1, lines 13-14), setting, using a dielectric constant calculator, a second dielectric parameter to a value corresponding to a dielectric of a second material located below the first material, and calculating the amplitude of the reflected pulse as a function of a distance determined from the first and second dielectric parameters as

well as a velocity of the pulse (column 1, line 66 to column 2, line 27 and column 3, lines 58-64).

De Carolis further teaches that the dielectric constant calculator calculates the dielectric parameter relating to one of the properties of the material ( $\epsilon$ ) as a function of  $p$  (column 2, lines 5-8), wherein  $p$  is the ratio between the magnitude of the reflected signal and the incident signal magnitude (i.e.  $p$  is a function of the transmit pulse amplitude and the reflective amplitude) (column 1, lines 27-34).

It would have been obvious to one having ordinary skill in the art to modify the invention of Oswald and Burger to include incorporating the dielectric parameters of the materials in calculating the reflected pulse amplitude, as taught by De Carolis, because the combination would have provided improved calculation by taking into account various parameters that directly affect the pulse propagation and further because De Carolis suggests that the combination would have provided accurate results by correcting the reflection pulse according to the material dielectric values in instances wherein more than only the top level of the liquid is to be measured, as is the case with Oswald and Burger (column 5, lines 8-37).

Further, with respect to claims 16 and 20, since the invention of Oswald and Burger teaches calculating a threshold as a function of an estimated first pulse amplitude and De Carolis teaches using the dielectric parameters of the materials involved to provide accurate pulse calculations, the combination would have provided a method for using the dielectric parameters when calculating the estimated first pulse amplitude to insure accurate results. Although this combination



does not specifically provide first calculating an estimated first pulse amplitude without taking into account a correctly calculated dielectric parameter and subsequently "re-calculating" the estimated first pulse amplitude after a correctly calculated dielectric parameter is obtained, these two methods are considered to be functionally equivalent because both methods arrive at the same result of an estimated pulse calculated as a function the correct dielectric parameters.

7. Claims 2, 4-9, 12, 13, 18, 22, and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Oswald in view Burger and De Carolis and further in view of U.S. Patent No. 5,609,059 to McEwan.

As noted above, Oswald in combination with Burger and De Carolis teaches many of the features of the claimed invention but does not specifically teach incorporating an offset correction factor in calculating the first reflected pulse amplitude of a microwave pulse and only specifically describes calculating an estimated first reflected pulse amplitude as a function of a reference amplitude of the transmitted pulse and detecting the reflected pulse from the first material interface using the estimated first reflected pulse amplitude by setting a first threshold value as a function of the estimated first reflected pulse amplitude, and not specifically for the second and third pulses/interfaces.

McEwan teaches an electronic multi-purpose material level sensor that determines the level of a product by measuring the time delay between transmitted and received reflected microwave pulses (column 6, lines 22-28) wherein the

magnitude of the reflected pulse is calculated as a function of the dielectric constant of the first material and the dielectric of the second material (column 6, lines 29-34), and all the reflected pulse measurements are corrected by taking the measurements between the fiducial pulse and the reflection pulse relative to the antenna, or launcher plate, rather than to the transceiver (i.e. offsetting the pulse measurements) (column 6, lines 49-53).

McEwan also teaches determining the level of multiple substances (column 7, lines 62-65) wherein a pulse is detected for each interface using a corresponding threshold for each pulse (i.e. fiducial and surface/interface levels) (column 8, line 66 to column 9, line 4).

McEwan also teaches that the fiducial interface is formed between the antenna and the first material (column 6, lines 43-49).

It would have been obvious to one having ordinary skill in the art to modify the invention of Oswald, Burger, and De Carolis to include incorporating an offset correction factor in calculating the pulse amplitudes, as taught by McEwan, because, as suggested by McEwan, the combination would have reduced or eliminated errors and drift introduced by the cable (column 6, lines 49-53).

Further, while Oswald in combination with Burger and De Carolis only specifically describes calculating an estimated first reflected pulse amplitude as a function of a reference amplitude of the transmitted pulse and detecting the reflected pulse from the first material interface using the estimated first reflected pulse amplitude by setting a first threshold value as a function of the estimated first reflected pulse

Art Unit: 2857

amplitude and first and second dielectrics, and not specifically for the second and third pulses/interfaces using a third dielectric, McEwan does suggest using a corresponding threshold for each pulse (i.e. fiducial and surface/interface levels) (column 8, line 66 to column 9, line 4) and it would have been obvious to one having ordinary skill in the art to perform corresponding steps to obtain a plurality of thresholds because the combination would have provided a method for accurately detecting the occurrence of each pulse rather than only the first pulse occurring at the first interface.

8. Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Oswald in view of Burger and further in view of U.S. Patent No. 5,609,059 to McEwan.

As noted above, the invention of Oswald and Burger teaches many of the features but does not specifically teach incorporating an offset correction factor in calculating the estimated first reflected pulse amplitude.

McEwan teaches an electronic multi-purpose material level sensor that determines the level of a product by measuring the time delay between transmitted and received reflected microwave pulses (column 6, lines 22-28) wherein the magnitude of the reflected pulse is calculated as a function of the dielectric constant of the first material and the dielectric of the second material (column 6, lines 29-34), and all the reflected pulse measurements are corrected by taking the measurements between the fiducial pulse and the reflection pulse relative to the antenna, or

launcher plate, rather than to the transceiver (i.e. offsetting the pulse measurements) (column 6, lines 49-53).

McEwan also teaches determining the level of multiple substances (column 7, lines 62-65) wherein a pulse is detected for each interface using a corresponding threshold for each pulse (i.e. fiducial and surface/interface levels) (column 8, line 66 to column 9, line 4).

McEwan also teaches that the fiducial interface is formed between the antenna and the first material (column 6, lines 43-49).

It would have been obvious to one having ordinary skill in the art to modify the invention of Oswald and Burger to include incorporating an offset correction factor in calculating the pulse amplitudes, as taught by McEwan, because, as suggested by McEwan, the combination would have reduced or eliminated errors and drift introduced by the cable (column 6, lines 49-53).

9. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Oswald in view of Burger and De Carolis and further in view of U.S. Patent No. 5,500,649 to Mowrey et al.

As noted above, Oswald in combination with Burger and De Carolis teaches all of the features of the claimed invention except for setting a threshold value as a function of an offset value.

Mowrey teaches a method and apparatus for monitoring the thickness of a coal rib comprising a transmitter that transmits radio waves toward the coal rib, a

receiving means that receives a portion of the reflected energy from the air-coal interface, and a processor means that determines the thickness of the coal rib by calculating the difference between the transmitting and reflecting times (column 2, line 60 to column 3, line 19). Mowrey further teaches adjusting the radar signal, by an offset value, to change the wave-detecting threshold value to an acceptable level (column 7, line 65 to column 8, line 10).

It would have been obvious to one having ordinary skill in the art to modify the invention of Oswald, Burger, and De Carolis to include setting a threshold value as a function of an offset value, as taught by Mowrey, because as suggested by Mowrey, the combination would have provided a method of obtaining accurate results by calibrating the transmitter and receiver based on the current operating conditions (column 8, lines 6-10).

10. Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Oswald in view of Burger, De Carolis, and McEwan and further in view of U.S. Patent No. 5,500,649 to Mowrey et al.

As noted above, Oswald in combination with Burger, De Carolis, and McEwan teaches many of the features of the claimed invention including preventing attenuation error in the reflected pulse measurement (McEwan, column 5, lines 15-21) and insuring that the threshold value remains at a valid level by applying a range factor (McEwan, column 4, lines 35-50) but does not teach setting a threshold value as a function of an offset value.

Mowrey teaches a method and apparatus for monitoring the thickness of a coal rib comprising a transmitter that transmits radio waves toward the coal rib, a receiving means that receives a portion of the reflected energy from the air-coal interface, and a processor means that determines the thickness of the coal rib by calculating the difference between the transmitting and reflecting times (column 2, line 60 to column 3, line 19). Mowrey further teaches adjusting the radar signal, by an offset value, to change the wave-detecting threshold value to an acceptable level (column 7, line 65 to column 8, line 10).

It would have been obvious to one having ordinary skill in the art to modify the invention of Oswald, Burger, De Carolis, and McEwan to include setting a threshold value as a function of an offset value, as taught by Mowrey, because as suggested by Mowrey, the combination would have provided a method of obtaining accurate results by calibrating the transmitter and receiver based on the current operating conditions (column 8, lines 6-10).

### ***Response to Arguments***

11. Applicant's arguments with respect to claims 1-23 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

12. The prior art made of record and not relied upon is considered pertinent to Applicant's disclosure.

U.S. Patent No. 6,057,772 to Burkett teaches an apparatus and method for optically sensing liquid level in cooking vessels wherein detection thresholds are set based upon the type of material being sensed.

U.S. Patent No. 4,748,846 to Haynes teaches a tank gauging system and methods comprising a means for setting a detection threshold based upon a reference amplitude value.

U.S. Patent No. 6,137,438 to McEwan teaches precision short-range pulse-echo systems with automatic pulse detectors.

U.S. Patent No. 5,131,271 to Haynes teaches an ultrasonic level detector including means for applying a temperature correction factor.

U.S. Patent No. 3,995,212 to Ross teaches an apparatus and method for sensing a liquid with a single wire transmission line including a dielectric calculator.

U.S. Patent No. 4,737,791 to Jean et al. teaches a radar tank gauge including means for applying correction based upon the delay line length.

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey R. West whose telephone number is (703)308-1309. The examiner can normally be reached on Monday thru Friday, 8:00-4:30.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Marc S. Hoff can be reached on (703)308-1677. The fax phone numbers for the organization where this application or proceeding is assigned are

Art Unit: 2857

(703)308-7382 for regular communications and (703)308-7382 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)308-0956.

jrw  
April 27, 2004

  
MARC S. HOFF  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2800